

Form

Balance sheet
reporting period 9 months of 2020

Index: No. 1 - B (balance sheet)
Frequency: annual
Present: public interest organisations based on financial year results
Submitted: to the depository of financial statements in electronic format through software
Deadline: annually not later than August 31 of the year following the reporting

Name of Company JSC NAC Kazatomprom
as of September 30, 2020
Form of Reporting Consolidated

in thousands of KZT

Assets	Line code	As of the end of reporting period	As of the beginning of reporting period
I. Current assets:			
Cash and cash equivalents	010	132,240,374	98,559,809
Current financial assets measured at amortised cost	011	3,582	723
Current financial assets measured at fair value through other comprehensive income	012	-	-
Current financial assets measured at fair value through profit or loss	013	3,062,549	-
Current derivative financial instruments	014	-	-
Other current financial assets	015	3,363,028	2,799,244
Current trade and other receivables	016	132,067,347	137,004,642
Current lease receivables	017	39,505	16,145
Current assets under contracts with customers	018	-	-
Current income tax	019	4,802,521	12,110,015
Inventories	020	218,610,965	217,058,446
Biological assets	021	-	-
Other current assets	022	14,210,560	10,737,057
Total current assets (sum of lines from 010 to 022)	100	508,400,431	478,286,081
Assets (or disposal groups) held for sale	101	942,202	19,734,068
II. Non-current assets			
Non-current financial assets measured at amortised cost	110	336,402	504,804
Non-current financial assets measured at fair value through other comprehensive income	111	62,725	62,710
Non-current financial assets measured at fair value through profit or loss	112	-	-
Non-current derivative financial instruments	113	1,452,632	542,860
Investments measured at cost	114	-	-
Investments measured at equity method of accounting	115	134,546,900	124,064,689
Other non-current financial assets	116	23,869,489	23,305,102
Non-current trade and other receivables	117	66,962	1,095,772
Non-current lease receivables	118	-	-
Non-current assets under contracts with customers	119	-	-
Investment property	120	-	-
Property, plant and equipment	121	158,824,611	161,832,168
Right-of-use asset	122	1,040,778	1,639,917
Biological assets	123	-	-
Exploration and evaluation assets	124	738,378,336	766,709,689
Intangible assets	125	56,057,802	54,696,990
Deferred tax assets	126	13,736,847	13,557,759
Other non-current assets	127	27,623,267	28,100,540
Total non-current assets (sum of lines from 110 to 127)	200	1,155,996,751	1,176,113,000
Balance (line 100 + line 101 + line 200)		1,665,339,384	1,674,133,149
Liabilities and Equity			
III. Current liabilities			
Current financial liabilities measured at amortised cost	210	14,559,195	91,254,187
Current financial liabilities measured at fair value through profit or loss	211	-	-
Current derivative financial instruments	212	-	-
Other current financial liabilities	213	396,316	745,879
Current trade and other payables	214	81,335,398	71,264,258
Current provisions	215	4,223,946	6,969,300
Current income tax liabilities	216	7,968,942	467,408
Employee benefits	217	2,207,372	1,829,842
Current lease liabilities	218	34,612	13,884
Current liabilities under contracts with customers	219	607,165	-
Government grants	220	-	-
Dividends payable	221	6,044,076	4,774,604
Other current liabilities	222	16,622,829	7,384,941
Total current liabilities (sum of lines from 210 to 222)	300	133,999,851	184,704,303
Liabilities of disposal groups held for sale	301	412,762	388,928
IV. Non-current liabilities			
Non-current financial liabilities measured at amortised cost	310	78,133,314	70,103,820
Non-current financial liabilities measured at fair value through profit or loss	311	-	-
Non-current derivative financial instruments	312	-	-
Other non-current financial liabilities	313	866,467	965,416
Non-current trade and other payables	314	-	-
Non-current provisions	315	41,777,215	40,018,194
Deferred tax liabilities	316	128,987,301	129,581,438
Employee benefits	317	1,115,834	1,109,639
Non-current lease liabilities	318	-	-
Non-current liabilities under contracts with customers	319	-	-
Government grants	320	-	-
Other non-current liabilities	321	4,994,258	5,106,395
Total non-current liabilities (sum of lines from 310 to 321)	400	255,874,389	246,884,902
V. Equity			
Charter (share) equity	410	37,050,945	37,050,945
Share premium	411	-	-
Purchased own equity instruments	412	-	-
Components of other comprehensive income	413	1,711,143	1,647,598
Retained earnings (retained losses)	414	985,514,515	944,917,201
Other equity	415	4,419,888	4,419,888
Total equity attributable to owners (sum of lines from 410 to 415)	420	1,028,696,491	988,035,632
The share of non-controlling owners	421	246,355,891	254,119,384
Total Equity (line 420 + line 421)	500	1,275,052,382	1,242,155,016
Balance (line 300 + line 301 + line 400 + line 500)		1,665,339,384	1,674,133,149

Chief Financial Officer (signature) Syzykova K.B.
 Financial controller (signature) Kozha-Akhmet D. A
 Acting Chief Accountant (signature) Mazurova O.I.
 Seal



Appendix 2
to the order of First Deputy Prime Minister -
Minister of Finance
of the Republic of Kazakhstan
No. 665 dated July 1, 2019

Appendix 3
to the order of Minister of Finance
of the Republic of Kazakhstan
No. 404 dated June 28, 2017

Form

Statement of Profit and Loss
for the period ended 30 September 2020

Index: No. 2 - P&L
Frequency: annual
Present: public interest organisations based on financial year results
Submitted: to the depository of financial statements in electronic format through software
Deadline: annually not later than August 31 of the year following the reporting

Name of Company JSC NAC Kazatomprom
for the 1st quarter ended September 30, 2020
Form of Reporting Consolidated

in thousands of KZT

Name of components	Line code	For the reporting period	For the prior period
Revenue	010	356,821,542	263,134,248
Cost of goods and services sold	011	178,541,554	160,836,701
Gross profit (line 010 - line 011)	012	178,279,988	102,297,547
Distribution expenses	013	10,391,147	7,565,039
Administrative expenses	014	19,672,435	23,295,917
Total operating profit (loss) (+/- sum of lines 012 to 014)	020	148,216,406	71,436,591
Financial income	021	19,100,623	3,927,595
Financial expenses	022	14,241,668	10,677,089
Company share in income (loss) of associated companies and joint ventures, accountable by equity method.	023	30,942,669	19,875,979
Other income	024	24,796,099	77,847,026
Other expenses	025	5,520,616	3,131,747
Profit (loss) before taxes (+/- sum of lines 020 to 025)	100	203,293,513	159,278,355
Income tax expense (-) (income (+))	101	46,659,847	17,296,345
Profit (loss) after taxes from continued activities (line 100 - line 101)	200	156,633,666	141,982,010
Profit (loss) after tax from discontinued operations	201	-	-
Profit for the year (line 200 + line 201) attributable to:	300	156,633,666	141,982,010
Owners of the Company		139,598,918	129,954,132
Non-controlling interest		17,034,748	12,027,878
Other comprehensive income, total (line 420 + line 440):	400	76,203	1,123,036
including:			
revaluation of debt financial instruments measured at fair value through other comprehensive income	410	0	0
share in other comprehensive income (loss) of associated companies and joint ventures, accountable by equity method	411	0	-10,773
effect on change in deferred tax income tax rates	412	0	0
cash flow hedge	413	0	0
foreign exchange differences on investments in foreign organisations	414	76,193	1,126,587
hedges of a net investment in foreign operations	415	0	0
other components of other comprehensive income	416	0	0
reclassification adjustment in income (loss)	417	0	0
tax effect of components of other comprehensive income	418	0	0
Total other comprehensive income to be reclassified to income or expense in subsequent periods (net of income tax) (sum of lines 410 to 418)	420	76,193	1,115,814
revaluation of property, plant and equipment and intangible assets	431	0	0
share in other comprehensive income (loss) of associated companies and joint ventures, accountable by equity method	432	0	0
actuarial income (loss) on pension liabilities	433	0	0
tax effect of components of other comprehensive income	434	0	0
revaluation of equity financial instruments measured at fair value through other comprehensive income	435	10	7,222
Total other comprehensive income not reclassified to income or expense in subsequent periods (net of income tax) (sum of lines 431 to 435)	440	10	7,222
Total comprehensive income (line 300 + line 400)	500	156,709,869	143,105,046
Total comprehensive income attributable to:			
Owners of the Company		139,662,463	131,087,200
Non-controlling interest		17,047,406	12,017,846
Earning per share:	600	-	-
including:			
Basic earning per share:			
from ongoing activities (in KZT)		538	501
from discontinued operations		-	-
Diluted earning per share:			
from ongoing activities (in KZT)		538	501
from discontinued operations		-	-

Chief Financial Officer (signature)

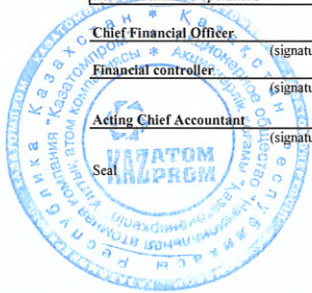
Syzdykova K.B.

Financial controller (signature)

Kozha-Akhmet D. A.

Acting Chief Accountant (signature)

Mazurova O.I.



Statement of Cash Flows (direct method)
reporting period ended 30 September 2020

Index: No 3 - SCF - D
Frequency: annual
Present: public interest organisations based on financial year results
Submitted: to the depository of financial statements in electronic format through software
Deadline: annually not later than August 31 of the year following the reporting

Name of Company: JSC NAC Kazatomprom
as of September 30, 2020
Form of Reporting: consolidated

Name of Activities	Line Code	KZT thous	
		For the accounting period	For the prior period
I. CASH FLOW FROM OPERATING ACTIVITIES			
1. Cash inflow, total (sum of lines 011 - 016)	010	519 076 467	374 378 835
including:			
sales revenue of goods (works, service)	011	492 099 228	336 776 556
other revenue	012	8 744	65 805
advances received from customers	013	923 825	3 244 358
inflows from insurance contracts	014	-	-
interest received	015	2 914 304	9 093 858
other	016	23 130 366	25 198 258
2. Cash outflow, total (sum of lines 021 - 027)	020	334 579 347	301 426 999
including:			
settlements with supplier and contractors	021	204 852 857	173 303 763
advances paid to suppliers of works and services	022	8 149 659	6 225 194
salaries and wages	023	33 855 640	33 526 585
interests paid	024	4 161 679	5 977 658
payments on insurance contracts	025	383 094	391 195
income tax and other payables to the budget	026	71 417 210	69 466 309
other	027	11 759 208	12 536 295
3. Net amount of cash from operating activities (line 010 - line 020)	030	184 497 120	72 951 836
II. CASH FLOW FROM INVESTING ACTIVITIES			
1. Cash inflow, total (sum of lines 041 - 052)	040	89 528 719	32 692 796
including:			
sales revenue of fixed assets	041	13 919	15 588
sales revenue of intangible assets	042	-	-
sales revenue of other long-term assets	043	3 844	-
sales revenue of equity instrument of other companies (except subsidiaries) and equity share in joint business	044	43 858 094.00	-
sales revenue of debt instruments of other companies	045	9 322 785	42 866
compensation at lack of control over subsidiaries	046	-	3 834 000
redemption of cash deposits	047	8 121 917	2 648 864
sales revenue of other financial assets	048	2 352 019	8 877 290.00
futures and forwards, options and swaps	049	-	-
dividends received	050	25 676 195	10 247 903
interest received	051	-	-
other	052	179 946	7 026 285
2. Cash outflow, total (sum of lines 061 - 073)	060	46 693 627	44 975 651
including:			
acquisition of fixed assets	061	4 074 144	10 945 279
acquisition of intangible assets	062	175 654	131 981
acquisition of other long-term assets	063	17 525 049	21 822 409
acquisition of equity instrument of other companies (except subsidiaries) and equity share in joint business	064	2 089 595	-
acquisition of debt instruments of other companies	065	12 158 911	792 561.00
acquisition of control over subsidiaries	066	-	-
placement of cash deposits	067	8 614 934	2 764 199
interests paid	068	-	-
acquisition of other financial assets	069	-	-
loans granted	070	-	6 716 000.00
futures and forwards, options and swaps	071	-	-
investments in subsidiaries and associated companies	072	-	64 122
other	073	2 054 940	1 739 100
3. Net amount of cash from investing activities (line 040 - line 060)	080	42 835 092	12 282 855
III. CASH FLOW FROM FINANCING ACTIVITIES			
1. Cash inflow, total (sum of lines 091 - 094)	090	112 358 745	257 836 449
including:			
issue of shares and other financial instruments	091	-	70 000 000
loans received	092	112 358 745	187 836 449
interest received	093	-	-
other	094	-	-
2. Cash outflow, total (sum of lines 101 - 105)	100	314 563 214	359 747 947
including:			
repayment of loans	101	191 990 971	196 478 231
payment of interest	102	-	-
payment of dividends	103	122 222 390	89 446 510
payment to company's owners	104	-	-
other	105	349 853	73 823 206
3. Net amount of cash from financing activities (line 090 - line 100)	110	- 202 204 469	- 101 911 498
4. Effect of exchange rate to KZT	120	8 560 285	- 1 516 088
5. Effect of changes in the carrying amount of cash and cash equivalents	130	- 7 463	3 897
6. Increase +/- Decrease of cash (line 030 +/- line 080 +/- line 110 +/- line 120 +/- line 130)	140	33 680 565	- 42 754 708
7. Cash and cash equivalents as of the beginning of accounting period	150	98 559 809	128 819 386
8. Cash and cash equivalents as of the end of accounting period	160	132 240 374	86 064 678

Chief Financial Officer (signature)  Sydykova K.B.

Financial controller (signature)  Kozha-Akhmet D.A.

Acting Chief Accountant (signature)  Mazurova O.I.

Seal



Statement of Changes in Equity
for the period ended 30 September 2020

Form

Index: No. - 5-CE

Frequency: annual

Present: public interest organisations based on financial year results

Submitted: to the depository of financial statements in electronic format through software

Deadline: annually not later than August 31 of the year following the reporting

Name of Company JSC NAC Kazatomprom

for the 1st quarter ended September 30, 2020

Form of Reporting Consolidated

in thousands of KZT

Name of components	Line Code	Equity attributable to owners					The share of non-controlling owners	Total equity
		Charter (share) equity	Share premium	Purchased own equity instruments	Components of other comprehensive income	Retained earnings		
Balance on January 1 of the previous year	10	37,050,945	-	-	21,612	834,963,659	4,419,888	1,044,460,366
Change in accounting policy	11	-	-	-	-	-	-	-
Adjusted balance (line 010 +/- line 011)	100	37,050,945	-	-	21,612	834,963,659	4,419,888	1,044,460,366
Total comprehensive income, total (line 210 + line 220):	200	-	-	-	1,625,986	189,954,681	-	215,322,074
Profit (loss) for the year	210	-	-	-	-	189,958,537	-	213,748,947
Other comprehensive income, total (sum of lines 221 to 229):	220	-	-	-	1,625,986	43,856	9,003	1,573,127
including:								
revaluation of debt financial instruments measured at fair value through other comprehensive income (net of tax effect)	221	-	-	-	-	-	-	-
revaluation of equity financial instruments measured at fair value through other comprehensive income (net of tax effect)	222	-	-	-	7,222	-	-	7,222
revaluation of property, plant and equipment and intangible assets (net of tax effect)	223	-	-	-	-	-	-	-
share in other comprehensive income (loss) of associates and joint ventures accounted for by equity method	224	-	-	-	-	10,866	-	10,866
actuarial gains (losses) on pension liabilities	225	-	-	-	-	32,990	405	33,395
the effect of changes in the income tax rate on deferred tax	226	-	-	-	-	-	-	-
cash flow hedges (net of tax effect)	227	-	-	-	-	-	-	-
hedging of net investment in foreign operations	228	-	-	-	-	-	-	-
foreign exchange difference on investments in foreign organisations	229	-	-	-	1,618,764	-	8,598	1,610,166
Transactions with owners, total (sum of lines 310 to 318):	300	-	-	-	-	80,001,139	62,373,715	17,627,424
including:								
Share employee benefits:	310	-	-	-	-	-	-	-
including:								
cost of employee services		-	-	-	-	-	-	-
issue of shares according to the scheme of remuneration of employees by shares		-	-	-	-	-	-	-
tax benefit in respect of employee stock compensation scheme		-	-	-	-	-	-	-
Contributions of the owners	311	-	-	-	-	-	-	-
Issue of own equity instruments (shares)	312	-	-	-	-	-	-	-
Issue of equity instruments related to business combinations	313	-	-	-	-	-	-	-
Equity component of convertible instruments (net of tax effect)	314	-	-	-	-	-	-	-
Dividend payout	315	-	-	-	-	80,001,139	23,998,774	103,999,913
Other distributions to owners	316	-	-	-	-	-	-	-
Other operations with owners	317	-	-	-	-	-	-	-
Changes in interest in subsidiaries that do not result in a loss of control	318	-	-	-	-	-	-	-
Other operations	319	-	-	-	-	-	86,372,489	86,372,489
Balance as of January 1 of the reporting year (line 100 + line 200 + line 300 + line 319)	400	37,050,945	-	-	1,647,598	944,917,201	4,419,888	1,242,155,016
Change in accounting policy	401	-	-	-	-	-	-	-
Adjusted balance (line 400 +/- line 401)	500	37,050,945	-	-	1,647,598	944,917,201	4,419,888	1,242,155,016
Total comprehensive income, total (line 610 + line 620):	600	-	-	-	63,545	139,598,918	-	156,709,869
Profit (loss) for the period	610	-	-	-	-	139,598,918	-	156,633,666
Other comprehensive income, total (sum of lines 621 to 629):	620	-	-	-	63,545	-	12,658	76,203
including:								
revaluation of debt financial instruments at fair value through other comprehensive income (net of tax effect)	621	-	-	-	-	-	-	-
revaluation of equity financial instruments at fair value through other comprehensive income (net of tax effect)	622	-	-	-	10	-	-	10
revaluation of fixed assets and intangible assets (net of tax effect)	623	-	-	-	-	-	-	-
share in other comprehensive income (loss) of associates and joint ventures accounted for using the equity method	624	-	-	-	-	-	-	-
actuarial gains (losses) on pension obligations	625	-	-	-	-	-	-	-
the effect of changes in the income tax rate on deferred tax	626	-	-	-	-	-	-	-
cash flow hedges (net of tax effect)	627	-	-	-	-	-	-	-
hedging of net investment in foreign operations	628	-	-	-	-	-	-	-
foreign exchange differences on investments in foreign organisations	629	-	-	-	63,535	-	12,658	76,193
Transactions with owners in total (sum of lines 710 to 718):	700	-	-	-	-	99,001,604	24,810,899	123,812,503
including:								
Remuneration of employees by shares:	710	-	-	-	-	-	-	-
including:								
cost of employee services		-	-	-	-	-	-	-
issue of shares according to the scheme of remuneration of employees by shares		-	-	-	-	-	-	-
tax benefit in respect of employee stock compensation scheme		-	-	-	-	-	-	-
Contributions of the owners	711	-	-	-	-	-	-	-
Issue of own equity instruments (shares)	712	-	-	-	-	-	-	-
Issue of equity instruments related to business combinations	713	-	-	-	-	-	-	-
Equity component of convertible instruments (net of tax effect)	714	-	-	-	-	-	-	-
Dividend payout	715	-	-	-	-	99,001,604	24,810,899	123,812,503
Other distributions to owners	716	-	-	-	-	-	-	-
Other operations with owners	717	-	-	-	-	-	-	-
Changes in interest in subsidiaries that do not result in a loss of control	718	-	-	-	-	-	-	-
Other operations	719	-	-	-	-	-	-	-
Balance as of September 30 of the reporting year (line 500 + line 600 + line 700 + line 719)	800	37,050,945	-	-	1,711,143	985,514,515	4,419,888	1,275,052,382

Chief Financial Officer (signature) Syzdykova K.B.

Financial controller (signature) Kozha-Akhmet D. A.

Acting Chief Accountant (signature) Mazurova O.I.

