

Form

**Balance sheet**  
reporting period 9 months of 2021

Frequency: quarterly  
Submitted to the depository of financial statements in electronic format through software  
Deadline: annually not later than August 31 of the year following the reporting

Name of Company JSC NAC Kazatomprom  
as of September 30, 2021  
Form of Reporting Consolidated

in thousands of KZT

Assets	Line code	As of the end of reporting period	As of the beginning of reporting period
<b>I. Current assets:</b>			
Cash and cash equivalents	010	121,906,884	113,347,227
Current financial assets measured at amortised cost	011	69,243,074	9,062,992
Current financial assets measured at fair value through other comprehensive income	012	-	-
Current financial assets measured at fair value through profit or loss	013	-	-
Current derivative financial instruments	014	-	-
Other current financial assets	015	-	-
Current trade and other receivables	016	96,691,482	117,384,677
Current lease receivables	017	43,010	33,055
Current assets under contracts with customers	018	-	-
Current income tax	019	48,641,054	9,985,567
Inventories	020	275,486,532	233,389,836
Biological assets	021	-	-
Other current assets	022	58,259,639	55,841,815
<b>Total current assets (sum of lines from 010 to 022)</b>	<b>100</b>	<b>670,271,675</b>	<b>539,045,169</b>
Assets (or disposal groups) held for sale	101	2,413,680	3,244,212
<b>II. Non-current assets</b>			
Non-current financial assets measured at amortised cost	110	22,221,082	24,063,072
Non-current financial assets measured at fair value through other comprehensive income	111	59,501	62,725
Non-current financial assets measured at fair value through profit or loss	112	-	-
Non-current derivative financial instruments	113	2,790,047	1,047,868
Investments measured at cost	114	-	-
Investments measured at equity method of accounting	115	120,157,753	119,886,973
Other non-current financial assets	116	-	-
Non-current trade and other receivables	117	10,065	-
Non-current lease receivables	118	-	-
Non-current assets under contracts with customers	119	-	-
Investment property	120	5,864,791	2,203,194
Property, plant and equipment	121	152,517,297	163,323,632
Right-of-use asset	122	868,238	977,820
Biological assets	123	-	-
Exploration and evaluation assets	124	710,294,995	728,774,644
Intangible assets	125	59,917,901	59,905,745
Deferred tax assets	126	25,811,893	13,206,209
Other non-current assets	127	33,415,178	33,538,728
<b>Total non-current assets (sum of lines from 110 to 127)</b>	<b>200</b>	<b>1,133,928,741</b>	<b>1,146,990,610</b>
<b>Balance (line 100 + line 101 + line 200)</b>		<b>1,806,614,096</b>	<b>1,689,279,991</b>
<b>Liabilities and Equity</b>			
<b>III. Current liabilities</b>			
Current financial liabilities measured at amortised cost	210	11,615,388	23,080,696
Current financial liabilities measured at fair value through profit or loss	211	185,209,802	-
Current derivative financial instruments	212	-	-
Other current financial liabilities	213	478,985	626,274
Current trade and other payables	214	63,678,987	43,921,161
Current provisions	215	4,906,654	7,877,757
Current income tax liabilities	216	1,763,928	927,490
Employee benefits	217	2,152,924	1,677,748
Current lease liabilities	218	13,947	26,938
Current liabilities under contracts with customers	219	336,316	85,324
Government grants	220	-	-
<b>Total current liabilities (sum of lines from 210 to 222)</b>	<b>300</b>	<b>302,303,409</b>	<b>111,156,550</b>
Liabilities of disposal groups held for sale	301	95,688	415,604
<b>IV. Non-current liabilities</b>			
Non-current financial liabilities measured at amortised cost	310	77,414,735	76,835,275
Non-current trade and other payables	314	-	-
Non-current provisions	315	29,455,992	26,744,938
Deferred tax liabilities	316	124,247,117	127,483,199
Non-current liabilities under contracts with customers	319	-	-
Government grants	320	-	-
<b>V. Equity</b>			
Charter (share) equity	410	37,050,945	37,050,945
Share premium	411	-	-
Purchased own equity instruments	412	-	-
Components of other comprehensive income	413	1,738,451	1,666,009
<b>Total Equity (line 420 + line 421)</b>	<b>500</b>	<b>1,248,881,789</b>	<b>1,339,792,715</b>
<b>Balance (line 300 + line 301 + line 400 + line 500)</b>		<b>1,806,614,096</b>	<b>1,689,279,991</b>

Chief Financial Officer (signature)

Syzdykova K.B.

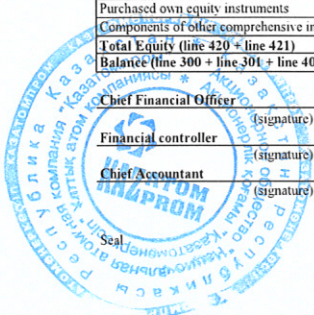
Financial controller (signature)

Kozha-Akhmet D. A

Chief Accountant (signature)

Jakypbekova S.J.

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Appendix 2  
to the order of First Deputy Prime Minister -  
Minister of Finance  
of the Republic of Kazakhstan  
No. 665 dated July 1, 2019

Appendix 3  
to the order of Minister of Finance  
of the Republic of Kazakhstan  
No. 404 dated June 28, 2017

Form

**Statement of Profit and Loss**  
for the period ended 30 September 2021

Frequency: quarterly

Present: public interest organisations based on financial year results

Submitted: to the depository of financial statements in electronic format through software

Deadline: annually not later than August 31 of the year following the reporting

Name of Company JSC NAC Kazatomprom

for the year ended September 30, 2021

Form of Reporting Consolidated

in thousands of KZT

Name of components	Line code	For the reporting period	For the prior period
Revenue	010	331,311,342	356,821,542
Cost of goods and services sold	011	213,542,842	178,541,554
<b>Gross profit (line 010 - line 011)</b>	<b>012</b>	<b>117,768,500</b>	<b>178,279,988</b>
Distribution expenses	013	6,235,729	10,391,147
Administrative expenses	014	24,567,723	19,672,435
<b>Total operating profit (loss) (+/- sum of lines 012 to 014)</b>	<b>020</b>	<b>86,965,048</b>	<b>148,216,406</b>
Financial income	021	7,801,550	19,100,623
Financial expenses	022	5,077,948	14,241,668
Company share in income (loss) of associated companies and joint ventures, accountable by equity method.	023	17,148,922	30,942,669
Other income	024	4,872,494	24,796,099
Other expenses	025	9,931,529	5,520,616
<b>Profit (loss) before taxes (+/- sum of lines 020 to 025)</b>	<b>100</b>	<b>101,778,537</b>	<b>203,293,513</b>
Income tax expense (-) (income +)	101	22,941,717	46,659,847
<b>Profit (loss) after taxes from continued activities (line 100 - line 101)</b>	<b>200</b>	<b>78,836,820</b>	<b>156,633,666</b>
Profit (loss) after tax from discontinued operations	201	-	-
<b>Profit for the year (line 200 + line 201) attributable to:</b>	<b>300</b>	<b>78,836,820</b>	<b>156,633,666</b>
Owners of the Company		50,568,213	139,598,918
Non-controlling interest		28,268,607	17,034,748
<b>Other comprehensive income, total (line 420 + line 440):</b>	<b>400</b>	<b>84,728</b>	<b>76,203</b>
including:			
revaluation of debt financial instruments measured at fair value through other comprehensive income	410	0	0
share in other comprehensive income (loss) of associated companies and joint ventures, accountable by equity method	411	0	0
effect on change in deferred tax income tax rates	412	0	0
cash flow hedge	413	0	0
foreign exchange differences on investments in foreign organisations	414	77,743	76,193
hedges of a net investment in foreign operations	415	0	0
other components of other comprehensive income	416	0	0
reclassification adjustment in income (loss)	417	0	0
tax effect of components of other comprehensive income	418	0	0
<b>Total other comprehensive income to be reclassified to income or expense in subsequent periods (net of income tax) (sum of lines 410 to 418)</b>	<b>420</b>	<b>77,743</b>	<b>76,193</b>
revaluation of property, plant and equipment and intangible assets	431	0	0
share in other comprehensive income (loss) of associated companies and joint ventures, accountable by equity method	432	0	0
actuarial income (loss) on pension liabilities	433	10,209	0
tax effect of components of other comprehensive income	434	0	0
revaluation of equity financial instruments measured at fair value through other comprehensive income	435	-3,224	10
<b>Total other comprehensive income not reclassified to income or expense in subsequent periods (net of income tax) (sum of lines 431 to 435)</b>	<b>440</b>	<b>6,985</b>	<b>10</b>
<b>Total comprehensive income (line 300 + line 400)</b>	<b>500</b>	<b>78,921,548</b>	<b>156,709,869</b>
Total comprehensive income attributable to:		-	-
Owners of the Company		50,650,864	139,662,463
Non-controlling interest		28,270,684	17,047,406
<b>Earning per share:</b>	<b>600</b>	-	-
including:		-	-
<b>Basic earning per share:</b>		-	-
from ongoing activities (in KZT)		195	538
from discontinued operations		-	-
<b>Diluted earning per share:</b>		-	-
from ongoing activities (in KZT)		195	538
from discontinued operations		-	-

Chief Financial Officer (signature) Sydykova K.B.

Financial controller (signature) Kozha-Akhmet D.A.

Chief Accountant (signature) Jakybekova S.J.



Form

Statement of Cash Flows (direct method)  
reporting period ended 30 September 2021

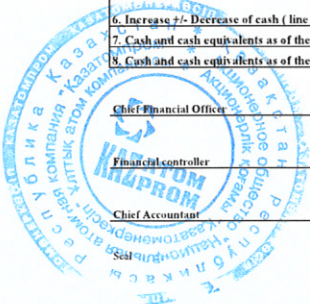
Index: No. 3 - SCF - D  
Frequency: annual  
Present: public interest organisations based on financial year results  
Submitted: to the depository of financial statements in electronic format through software  
Deadline: annually not later than August 31 of the year following the reporting

Name of Company: JSC NAC Kazatomprom  
as of September 30, 2021  
Form of Reporting: consolidated

Name of Activities	Line Code	KZT thousand	
		For the accounting period	For the prior period
<b>I. CASH FLOW FROM OPERATING ACTIVITIES</b>			
<b>1. Cash inflow, total (sum of lines 011 - 016)</b>	<b>010</b>	<b>458,499,401</b>	<b>519,076,467</b>
including:			
sales revenue of goods (works, service)	011	410,593,652	492,099,228
other revenue	012	3,609	8,744
advances received from customers	013	1,138,671	923,825
inflows from insurance contracts	014	-	-
interest received	015	2,970,461	2,914,304
other	016	43,793,008	23,130,366
<b>2. Cash outflow, total (sum of lines 021 - 027)</b>	<b>020</b>	<b>385,177,389</b>	<b>334,579,347</b>
including:			
settlements with supplier and contractors	021	214,092,171	204,852,857
advances paid to suppliers of works and services	022	13,375,738	8,149,659
salaries and wages	023	37,108,171	33,855,640
interests paid	024	3,162,163	4,161,679
payments on insurance contracts	025	599,307	383,094
income tax and other payables to the budget	026	102,482,052	71,417,210
other	027	14,357,787	11,759,208
<b>3. Net amount of cash from operating activities (line 010 - line 020)</b>	<b>030</b>	<b>73,322,012</b>	<b>184,497,120</b>
<b>II. CASH FLOW FROM INVESTING ACTIVITIES</b>			
<b>1. Cash inflow, total (sum of lines 041 - 052)</b>	<b>040</b>	<b>101,200,787</b>	<b>89,528,719</b>
including:			
sales revenue of fixed assets	041	66,536	13,919
sales revenue of intangible assets	042	-	-
sales revenue of other long-term assets	043	-	3,844
sales revenue of equity instrument of other companies (except subsidiaries) and equity share in joint business	044	-	43,858,094
sales revenue of debt instruments of other companies	045	76,509,924	9,322,785
compensation at lack of control over subsidiaries	046	703,533	-
redemption of cash deposits	047	6,349,637	8,121,917
sales revenue of other financial assets	048	2,345,503	2,352,019
futures and forwards, options and swaps	049	-	-
dividends received	050	14,616,752	25,676,195
interest received	051	-	-
other	052	608,902	179,946
<b>2. Cash outflow, total (sum of lines 061 - 073)</b>	<b>060</b>	<b>171,854,181</b>	<b>46,693,627</b>
including:			
acquisition of fixed assets	061	3,546,670	4,074,144
acquisition of intangible assets	062	413,973	175,654
acquisition of other long-term assets	063	25,194,934	17,525,049
acquisition of equity instrument of other companies (except subsidiaries) and equity share in joint business	064	-	2,089,995
acquisition of debt instruments of other companies	065	91,016,696	12,158,911
acquisition of control over subsidiaries	066	-	-
placement of cash deposits	067	49,209,498	8,614,934
interests paid	068	-	-
acquisition of other financial assets	069	-	-
loans granted	070	189,590	-
futures and forwards, options and swaps	071	-	-
investments in subsidiaries and associated companies	072	-	-
other	073	2,282,821	2,054,940
<b>3. Net amount of cash from investing activities (line 040 - line 060)</b>	<b>080</b>	<b>- 70,653,394</b>	<b>42,835,092</b>
<b>III. CASH FLOW FROM FINANCING ACTIVITIES</b>			
<b>1. Cash inflow, total (sum of lines 091 - 094)</b>	<b>090</b>	<b>214,860,738</b>	<b>112,358,745</b>
including:			
issue of shares and other financial instruments	091	-	-
loans received	092	29,002,680	112,358,745
interest received	093	-	-
other	094	185,858,058	-
<b>2. Cash outflow, total (sum of lines 101 - 105)</b>	<b>100</b>	<b>209,590,182</b>	<b>314,563,214</b>
including:			
repayment of loans	101	39,144,040	191,990,971
payment of interest	102	-	-
payment of dividends	103	170,079,519	122,222,390
payment to company's owners	104	-	-
other	105	366,623	349,853
<b>3. Net amount of cash from financing activities (line 090 - line 100)</b>	<b>110</b>	<b>5,270,556</b>	<b>- 202,204,469</b>
<b>4. Effect of exchange rate to KZT</b>	<b>120</b>	<b>622,852</b>	<b>8,560,285</b>
<b>5. Effect of changes in the carrying amount of cash and cash equivalents</b>	<b>130</b>	<b>- 2,369</b>	<b>- 7,463</b>
<b>6. Increase +/- Decrease of cash (line 030 +/- line 080 +/- line 110 +/- line 120 +/- line 130)</b>	<b>140</b>	<b>8,559,657</b>	<b>33,680,565</b>
<b>7. Cash and cash equivalents as of the beginning of accounting period</b>	<b>150</b>	<b>113,347,227</b>	<b>98,559,809</b>
<b>8. Cash and cash equivalents as of the end of accounting period</b>	<b>160</b>	<b>121,906,884</b>	<b>132,240,374</b>

Chief Financial Officer (signature)  
Financial controller (signature)  
Chief Accountant (signature)

Sydykova K. B.  
Korba-Aldmet D. A.  
Jakyzbekova S. J.



**Statement of Changes in Equity**  
for the period ended 30 September 2021

Form

Index: No. - 5-CE  
Frequency: quarterly  
Deadline: annually not later than August 31 of the year following the reporting

Name of Company **JSC NAC Kazatomprom**  
for the year ended September 30, 2021  
Form of Reporting **Consolidated**

in thousands of KZT

Name of components	Line Code	Equity attributable to owners			Total equity
		Charter (share) equity	Share premium	Retained earnings	
<b>Balance on January 1 of the previous year</b>	10	37,050,945	-	944,917,201	1,242,155,016
Change in accounting policy	11	-	-	-	-
<b>Adjusted balance (line 010 +/- line 011)</b>	100	37,050,945	-	944,917,201	1,242,155,016
Total comprehensive income, total (line 210 + line 220):	200	-	-	183,561,378	221,409,309
Profit (loss) for the year	210	-	-	183,540,484	221,367,649
Other comprehensive income, total (sum of lines 221 to 229):	220	-	-	20,894	41,660
including:		-	-	-	-
revaluation of debt financial instruments measured at fair value through other comprehensive income (net of tax effect)	221	-	-	-	-
revaluation of equity financial instruments measured at fair value through other comprehensive income (net of tax effect)	222	-	-	-	10
revaluation of property, plant and equipment and intangible assets (net of tax effect)	223	-	-	-	-
share in other comprehensive income (loss) of associates and joint ventures accountable by equity method	224	-	-	-	-
actuarial gains (losses) on pension liabilities	225	-	-	20,894	18,300
the effect of changes in the income tax rate on deferred tax	226	-	-	-	-
cash flow hedges (net of tax effect)	227	-	-	-	-
hedging of net investment in foreign operations	228	-	-	-	-
foreign exchange difference on investments in foreign organisations	229	-	-	-	23,350
<b>Transactions with owners, total (sum of lines 310 to 318):</b>	300	-	-	99,001,604	123,812,503
including:		-	-	-	-
Share employee benefits:	310	-	-	-	-
including:		-	-	-	-
cost of employee services		-	-	-	-
issue of shares according to the scheme of remuneration of employees by shares		-	-	-	-
tax benefit in respect of employee stock compensation scheme		-	-	-	-
Contributions of the owners	311	-	-	-	-
Issue of own equity instruments (shares)	312	-	-	-	-
Issue of equity instruments related to business combinations	313	-	-	-	-
Equity component of convertible instruments (net of tax effect)	314	-	-	-	-
Dividend payout	315	-	-	99,001,604	123,812,503
Other distributions to owners	316	-	-	-	-
Other operations with owners	317	-	-	-	-
Changes in interest in subsidiaries that do not result in a loss of control	318	-	-	-	-
Other operations	319	-	-	-	-
<b>Balance as of January 1 of the reporting year (line 100 + line 200 + line 300 + line 319)</b>	400	37,050,945	-	1,029,476,975	1,339,792,715
Change in accounting policy	401	-	-	-	-
<b>Adjusted balance (line 400 +/- line 401)</b>	500	37,050,945	-	1,029,476,975	1,339,792,715
Total comprehensive income, total (line 610 + line 620):	600	-	-	50,578,422	78,921,548
Profit (loss) for the period	610	-	-	50,568,213	78,836,820
Other comprehensive income, total (sum of lines 621 to 629):	620	-	-	10,209	84,728
including:		-	-	-	-
revaluation of debt financial instruments at fair value through other comprehensive income (net of tax effect)	621	-	-	-	-
revaluation of equity financial instruments at fair value through other comprehensive income (net of tax effect)	622	-	-	-	3,224
revaluation of fixed assets and intangible assets (net of tax effect)	623	-	-	-	-
share in other comprehensive income (loss) of associates and joint ventures accounted for using the equity method	624	-	-	-	-
actuarial gains (losses) on pension obligations	625	-	-	10,209	10,209
the effect of changes in the income tax rate on deferred tax	626	-	-	-	-
cash flow hedges (net of tax effect)	627	-	-	-	-
hedging of net investment in foreign operations	628	-	-	-	-
foreign exchange differences on investments in foreign organisations	629	-	-	-	77,743
<b>Transactions with owners in total (sum of lines 710 to 718):</b>	700	-	-	174,903,984	169,832,474
including:		-	-	-	-
cost of employee services		-	-	-	-
issue of shares according to the scheme of remuneration of employees by shares		-	-	-	-
tax benefit in respect of employee stock compensation scheme		-	-	-	-
Contributions of the owners	711	-	-	-	-
Dividend payout	715	-	-	150,081,888	170,439,601
Other distributions to owners	716	-	-	-	-
Other operations with owners	717	-	-	-	-
<b>Balance as of September 30 of the reporting year (line 500 + line 600 + line 700 + line 719)</b>	800	37,050,945	-	905,151,413	1,248,881,789

Chief Financial Officer (signature) Syzdykova K.B.  
Financial controller (signature) Kozha-Akhmet D. A  
Chief Accountant (signature) Jakypbekova S.J.

